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# 401k Service TRAINING PROGRAM™ for Plan Professionals

Accredited training. Professional certification. Business-building tools.

## PROGRAM TOOLS AT-A-GLANCE...

- How do you **eliminate shortfalls** in the plans you service?
- How do you make this process **simple and repeatable** to save valuable time and resources?
- How do you use this process to **gain new clients** and new revenue streams?
- Are you prepared to invest in marketing and process development support to help you create your own **turn-key service model**?
- Would you rather re-invent the wheel, or borrow an existing proven process that covers all critical issues and can be **customized for your business**?

Take a look at some of the tools you'll receive exclusive access to by successfully completing The 401k Service Training Program and becoming a Professional Plan Consultant™. After reviewing the materials, log on to [www.401kservicetraining.com](http://www.401kservicetraining.com) to enroll in an upcoming training program.

### The Vision Session Identifying Shortfalls



Educate Sponsors on the six critical issues



Workbook with forms like the Employer Report Card to gather data, identify shortfalls, and document decisions



Summary Templates with action items and checklists for ongoing monitoring

### A Solid Foundation The IPS



Educate Sponsors on the importance of the IPS



Workbook with forms like the IPS Checklist and IPS IQ quiz to gather data, identify shortfalls, and document decisions



Summary Templates with Custom IPS and checklists for ongoing investment monitoring

### The Portfolio Builder Documenting Expenses



Educate Sponsors on Documenting Plan Expenses



Workbook with forms like the Plan Holdings Worksheet to gather data, identify shortfalls, and document decisions

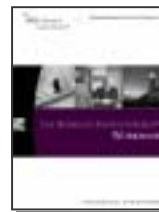


Summary Templates including documented Feature and Expense Review

### Advanced Investor Series Participant Education



Educate Sponsors on ERISA Communication Requirements



Workbook with forms like the Employee Report Card to monitor the effectiveness of an education program



Summary Templates with Custom Education Program set out for one full year

# PROGRAM TOOLS AT-A-GLANCE Continued...

## Peak Admin Guide Educating the Sponsor



Educate Sponsors on changes that affect a plan



Workbook with forms like the Document Review Checklist and Sponsor Education Needs Worksheet



Summary Templates with One-Year Plan Management Calendar and Plan Review Checklist



## Lead Fiduciary Practice Fiduciary Standards



Educate Sponsors on meeting fiduciary standards



Workbook with forms like the Fiduciary Compliance Checklist to gather data, identify shortfalls, and document decisions



Summary Templates with Complete Review of Investments and Ongoing Monitoring Checklists



## Course Variations



Small Plan Version



Complete Six-Course Version to cover all Issues as a set  
*(All of the topics have a PowerPoint version of the educational Course Book for presenting to sponsors)*



Ability to Private Label and Customize Material



## Marketing Support



Brochures



Adslicks



Pocket Cards



PPC Advice Brochure



Marketing pieces for each separate topic



*(All of the marketing pieces are available for you to edit and customize for your business)*

## Additional Tools in the 401k Service Solution



15 Plan Sponsor Education Topic Sheets



Risk Tolerance Questionnaire



Retirement Analysis Worksheet



25 Participant Education Topic Sheets



Resource Handbook To Give Plan Sponsors



Binders to Give Clients for Course Summaries

## Additional Benefits



PPC Designation Certificate



Template Disk of tools in editable form



PPC Only Website and listing on Sponsor website



Free Trial to Fiduciary Analytics



Free Trial to Larkspur Data MasterPro